



4-10-03

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Nemerow *et al.*  
Serial No.: 09/903,327  
Conf. No.: 7374  
Filed: July 10, 2001

For: **BIFUNCTIONAL MOLECULES  
AND VECTORS COMPLEXED  
THEREWITH FOR TARGETED  
GENE DELIVERY**

Art Unit: 1632  
Examiner: Wehbe A.M.S.

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number

EV 260129376 US

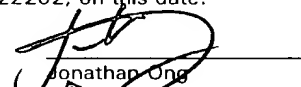
Date of Deposit April 07, 2003

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04/07/03

Date

  
Jonathan Ong

## TRANSMITTAL LETTER

Commissioner for Patents  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Sir:

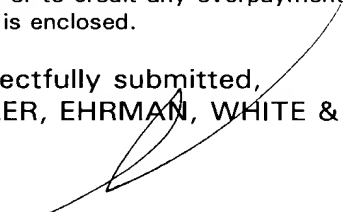
Transmitted herewith are a Supplemental Information Disclosure Statement, Form PTO-1449 (1 page), and cited references for filing in connection with the above-identified application. Because this Supplemental Information Disclosure Statement is filed prior to receipt of a First Office Action on the merits in the above-referenced application, no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 50-1213, as stated below:



The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,  
HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:

  
Stephanie Seidman  
Registration No. 33,779

Attorney Docket No.: 22908-1228B  
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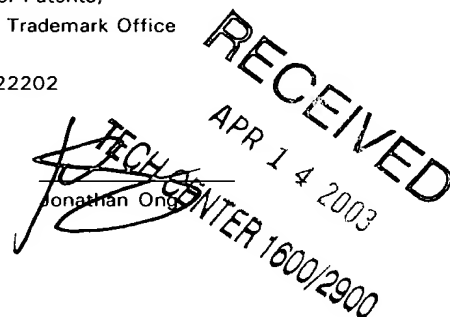
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Arlington, VA 22202

04/07/03

Date



**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE  
WITH 37 C.F.R. §§ 1.97-1.98**

Commissioner for Patents  
U.S. Patent and Trademark Office  
P. O. Box 2327  
Arlington, VA 22202

Dear Sir:

Since this Supplemental Information Disclosure Statement is filed after receipt of a first Office Action on the merits for the above-captioned application, the filing fee of \$180.00 is enclosed. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. The Form PTO-1449 (1 page) and cited references are provided herewith.

The documents listed on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended

U.S.S.N 09/903,327

Nemerow *et al.*

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Examiner the following U.S. and International applications, which are commonly owned and/or have one or more inventors in common in the instant application:

<u>U.S.S.N.(App. no.)</u>	<u>Filing Date</u>	<u>Docket No.</u>
09/562,934	05/01/00	22908-1226
09/847,101	05/01/01	22908-1226B
09/586,625	06/02/00	22908-1227
60/371,610	04/09/02	22908-P1229
60/408,931	09/04/02	22908-P1230
60/408,440	09/04/02	22908-P1231
60/408,248	09/04/02	22908-P1232
09/795,292	01/14/99	22908-1235
09/482,682	01/14/00	22908-1235B
10/351,890	01/24/02	22908-1236
60/391,967	06/26/02	22908-P1236

<u>Int'l App. no.</u>	<u>Filing Date</u>	<u>Docket No.</u>
PCT/EP01/04863	04/30/01	22908-1226PC
PCT/EP/01/07878	07/09/01	22908-1228PC
Unassigned	01/24/02	22908-1236PC

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

\* \* \*

considered 5/29/03 *Allen*

**U.S.S.N 09/903,327**

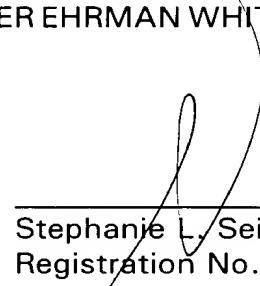
**Nemerow *et al.***

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Applicant respectfully requests that the Examiner review the foregoing references and information and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,  
HELLER EHRMAN WHITE & MCAULIFFE LLP

By:

  
Stephanie L. Seidman  
Registration No. 33,779

Attorney Docket No. 22908-1228B

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